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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Attorney Docket No.</td> <td>500.36282CC4</td> </tr> <tr> <td>First Inventor</td> <td>K. KATAYAMA, et al.</td> </tr> <tr> <td>Title</td> <td>SEMICONDUCTOR MEMORY HAVING ELECTRICALLY ERASABLE AND PROGRAMMABLE SEMICONDUCTOR MEMORY CELLS</td> </tr> <tr> <td>Express Mail Label No.</td> <td></td> </tr> </table>	Attorney Docket No.	500.36282CC4	First Inventor	K. KATAYAMA, et al.	Title	SEMICONDUCTOR MEMORY HAVING ELECTRICALLY ERASABLE AND PROGRAMMABLE SEMICONDUCTOR MEMORY CELLS	Express Mail Label No.																	
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<b>APPLICATION ELEMENTS</b> SEE MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS</b> TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450																								
<table style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;">           1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)  <i>(Submit an original and a duplicate for fee processing)</i>             2. <input type="checkbox"/> Applicant claims small entity status.            See 37 CFR 1.27.             3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: <b>38</b>]</span>  <i>(preferred arrangement set forth below)</i>            -Descriptive title of the invention            -Cross Reference to Related Applications            -Statement Regarding Fed sponsored R &amp; D            -Reference to sequence listing, a table, or a computer program listing appendix            -Background of the Invention            -Brief Summary of the Invention            -Brief Description of the Drawings (if filed)            -Detailed Description            -Claim(s)            -Abstract of the Disclosure             4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets: <b>4</b>]</span>             5. Oath or Declaration <span style="float: right;">[Total Sheets: <b>2</b>]</span>            a. <input type="checkbox"/> Newly executed (original or copy)            b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))  <i>(for continuation/divisional with Box 18 completed)</i>                 i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>                  Signed statement attached deleting inventor(s)                  Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)             6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76         </td> <td style="width: 50%; vertical-align: top;">           7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>            8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>                a. <input type="checkbox"/> Computer Readable Form (CRF)                b. Specification Sequence Listing on:                  i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or                  ii. <input type="checkbox"/> paper                c. <input type="checkbox"/> Statements verifying identity of above copies         </td> </tr> </table>		1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>  2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: <b>38</b>]</span> <i>(preferred arrangement set forth below)</i> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure  4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets: <b>4</b>]</span>  5. Oath or Declaration <span style="float: right;">[Total Sheets: <b>2</b>]</span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i>  i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)  6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies																						
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9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____																									
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <div style="display: flex; justify-content: space-between;"> <span><input checked="" type="checkbox"/> Continuation</span> <span><input type="checkbox"/> Divisional</span> <span><input type="checkbox"/> Continuation-in-part (CIP)</span> <span>of prior application No.: <b>10/304,046</b></span> </div> Prior application information: Examiner: <b>T.O.</b> Art Unit: <b>Le</b> <b>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</b>																									
<b>19. CORRESPONDENCE ADDRESS</b>																									
<input checked="" type="checkbox"/> Customer Number <span style="border: 1px solid black; padding: 2px 20px;"><b>020457</b></span> <span style="float: right;">OR <input type="checkbox"/> Correspondence address</span>																									
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="4">Name <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b></td> </tr> <tr> <td colspan="4">Address</td> </tr> <tr> <td>City</td> <td>State</td> <td>Zip Code</td> <td></td> </tr> <tr> <td>Country</td> <td>Telephone</td> <td>Fax</td> <td></td> </tr> <tr> <td colspan="2">Name (Print/Type) <b>Gregory E. Montone</b></td> <td colspan="2">Registration No. (Attorney/Agent) <b>28,141</b></td> </tr> <tr> <td colspan="2">Signature <i>[Signature]</i></td> <td>Date</td> <td><b>October 16, 2003</b></td> </tr> </table>		Name <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>				Address				City	State	Zip Code		Country	Telephone	Fax		Name (Print/Type) <b>Gregory E. Montone</b>		Registration No. (Attorney/Agent) <b>28,141</b>		Signature <i>[Signature]</i>		Date	<b>October 16, 2003</b>
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This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

00727 U.S. PTO  
10/685441

101603

PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2004**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 770**Complete if Known**

Application Number	Not assigned yet
Filing Date	On even date herewith
First Named Inventor	K. KATAYAMA, et al.
Examiner Name	Not assigned yet
Art Unit	Not assigned yet
Attorney Docket No.	500.36282CC4

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

01-2135

Antonelli, Terry, Stout &amp; Kraus, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	770
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)**

770

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims	Fee from below	Fee Paid
4	-20** =	x	=	0
Indep. Claims	2	-3** =	x	= 0
Multiple Dependent				= 0

Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**

0

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1406	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

0

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Gregory E. Montone	Registration No. (Attorney/Agent)	28,141	Telephone	703-312-6600
Signature		Date	10/16/2003		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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